

## Ethics Complaint Activity 2009



	Articles of the Code alleged to have been violated	Referred by Grievance Committee for hearing		Article (s) found by Hearing Panel to have been violated	Appeal of Hearing Panel decision		Board of Directors final action	Discipline imposed (if any)
		Yes	No		Yes	No		
Complaint #1	1 and 9	yes		1 and 9		no	affirmed	Letter of Reprimand; TREC Core Course (4 hours); Code of Ethics course (3 hours); \$500 fine
Complaint #2	1, 2 and 12		no	2 and 9				
Complaint #3	1, 2, 10 and 12	yes		Dismissed: Complainant failed to attend hearing				
Complaint #4	1, 2 and 12	yes		2 and 12		no	affirmed	Letter of Reprimand; Contracts 101 course (3 hours); TREC Core Course (4 hours); Code of Ethics course (3 hours)
Complaint #5	1, 8 and 9	yes		none		no	affirmed	
Complaint #6	16	no						

Complaint #7	2 and 12	yes		2 and 12	yes		upheld	Letter of Warning; Code of Ethics course (3 hours); GRI 1 course (16 hours)
Complaint #8	1, 3 and 11		no					
Complaint #9	1, 8, 9 and 12	yes		1 and 9		no	affirmed	TREC Core Course (4 hours); Code of Ethics course (3 hours); \$1,000 fine
Complaint #10	8 and 14	yes		8 and 14		no	affirmed	Letter of Reprimand; ABR course (16 hours); \$100 fine
Complaint #11	1, 2 and 9		no					
Complaint #12	1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17		no					
Complaint #13	1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17		no					
Complaint #14	10		no					
Complaint #15	1 and 3		no					

Complaint #16	1		no					
Complaint #17	2	yes		none				
Complaint #18	1, 2, 8 and 9	yes		1 and 2				pending
Complaint #19	1		no					
Complaint #20	1, 5, 6, and 9	yes		pending				
Complaint #21	1, 2, 3, 5, 6 and 9	yes		1 and 2				pending